## American Journal on Intellectual and Developmental Disabilities Stepped Cognitive-Behavioral Therapy for Depressive and Externalizing Symptoms in Autistic Anxious Children --Manuscript Draft--

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1

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**Abstract** 

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significant challenge. Stepped-care addresses this gap, although there is limited research into its broad

effects in autistic youth with anxiety. In this project 76 autistic youth with anxiety entered parent-led-

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Keywords: stepped-care, anxiety, autism

# Effects of Stepped Cognitive Behavioral Therapy on Child Depressive and Externalizing Symptoms in Autistic Children with Anxiety

Autistic youth often struggle with a range of emotional and behavioral concerns, among the most common being anxiety disorders. Autistic youth experience higher levels of anxiety than those in community samples (MacNeil et al., 2009; Vasa et al., 2020), with at least 40% meeting criteria for a co-occurring anxiety disorder (Perihan et al., 2020; Salloum et al., 2022; Sharma et al., 2021; Ung et al., 2014). Notably, co-occurring anxiety may result in decreased quality of life (Adams et al., 2020; Howe et al., 2022), including increased sleep disturbance and defiance-related behaviors (Vasa et al., 2020), as well as decreased engagement in school (Howe et al., 2022). Therefore, it is critical to create and implement interventions that address anxiety in autistic youth, as well as assess their potential impact on associated symptoms.

Cognitive behavioral therapy (CBT) is the gold-standard psychotherapy for anxiety in both autistic (Perihan et al., 2020; Sharma et al., 2021; Storch et al., 2022; Ung et al., 2014) and non-autistic youth (Reynolds et al., 2012; Salloum et al., 2022). Despite the demonstrated efficacy of CBT, there remain barriers to accessing care, including difficulty navigating the healthcare system, systemic barriers, financial difficulty, and family circumstances (Reardon et al., 2017).

Stepped-care models have been suggested to increase accessibility to evidence-based treatments (Salloum et al., 2022). In a stepped-care format, initial treatment consists of brief, low-intensity interventions, and then those who need additional care are allocated (or "stepped-up") to higher-intensity interventions involving therapist-led sessions (Salloum et al., 2022). Stepped-care interventions have important benefits, including decreased time with a therapist, and subsequently lowered cost, decreased disruption from everyday activities, and – in the case of parent-led stepped interventions – parental periodic reminders of learned skills (Creswell et al., 2017; Thirlwall et al., 2013). Parent-led interventions are one method of adapting CBT delivery for autistic youth with anxiety (Cook et al., 2019). Increased

parental involvement in interventions for autistic youth have also been shown to decrease family accommodation (Byrne et al., 2022), a factor known to maintain anxiety symptoms (Lebowitz et al., 2012). Outside of autism, stepped-care models have been effective at reducing the primary target symptom in youth with anxiety, OCD (Aspvall et al., 2021; Rapee et al., 2017; Torp et al., 2015), and those experiencing trauma (Salloum et al., 2022).

[redacted] and colleagues (redacted) found that stepped-care CBT reduced anxiety in autistic youth with anxiety and that these results were maintained at a three-month follow up. In this work, 74 participants underwent 12 weeks of parent-led therapist-assisted (PLTA) therapy with four 45-minute parent-only sessions providing support, encouragement, and psychoeducation. Participants who responded to treatment transitioned to a 12-week maintenance phase. Those who did not respond to PLTA stepped-up to receive family-based individual CBT, which consisted of 10 sixty-minute therapist-led sessions over 12 weeks. At the end of the second 12 weeks for both the maintenance phase and those who stepped-up to receive individual CBT and completed care, participants completed a post-treatment assessment. At the post-assessment, those who entered the maintenance phase had faster rates of improvement of anxiety symptoms relative to those who stepped-up (redacted). Three months after the post-treatment assessment, participants were reassessed and there were no statistically significant group differences in anxiety scores. This suggests that a stepped-care model is an effective model of care for reducing anxiety in autistic youth.

Although the reduction of anxiety is central to determining the efficacy of anxiety-focused treatment, it is additionally important to note secondary symptom reductions, such as depression and externalizing symptoms, in order to determine the overall impact of treatment. This is particularly true in an autistic population where psychiatric comorbidity is high (Mutluer et al., 2022). These symptoms, and their resulting interference with daily functioning, are often the reasons *why* families ultimately seek treatment (Becker et al., 2011). Evidence suggests that, because of the multi-faceted components of CBT, secondary concerns may change as a result of treatment. Indeed, a recent meta-analysis determining treatment

outcomes of CBT for youth with anxiety found that, in addition to improvement in anxiety symptoms, youth experienced reduced depressive symptoms, externalizing behaviors, as well as improved general functioning (Kreuze et al., 2018). These results were maintained during follow-up. However, due to high rates of co-occurring disorders in autistic youth (Mutluer et al., 2022) it is important to further consider these possible secondary symptom reductions in an autistic population. Wood and colleagues (2020) found that, among a sample of 66 autistic youth with anxiety, an adapted CBT program for anxiety resulted in decreased anxious and depressive symptoms on the Child Behavior Checklist (CBCL), as well as the broader internalizing behaviors subscale (adding somatic complaints, withdrawn/depressive symptoms subscales). Similar reductions in internalizing symptoms among autistic youth with anxiety were also observed by Storch and colleagues (2013). Bemmer and colleagues (2021) found decreases in mood-disorder symptoms, anxiety, and distress following a modified social anxiety CBT intervention for autistic youth. Additionally, some evidence suggests that externalizing behaviors are reduced following CBT for autistic youth with co-occurring anxiety (Storch et al., 2015; van Steensel & Bögels, 2015). Collectively, the literature demonstrates the positive and lasting impact that CBT for anxiety can have on co-occurring symptoms/behaviors in youth with autism. However, it is important to confirm these findings in a stepped-care model, particularly for those who only received parent-led intervention, as the lowered intensity of care may not have as significant broadband effects. Furthermore, it is important to provide evidence that such secondary symptom reductions are maintained at follow-up.

When evaluating the overall impact of treatment, it is important to consider potential implications for the family unit as a whole. The majority of parents with autistic youth experiencing anxiety report that anxiety may limit their family's activities (Howe et al., 2022) and may contribute to general parental stress (Howe et al., 2022; Kerns et al., 2015). Child anxiety is also positively associated with impairment and dysfunction in the home, such as difficulties getting along with parents and siblings (Langley et al., 2004). Additionally, there is a positive relationship between child conduct problems and parenting stress and depression (Raulston et al., 2021), as well as child internalizing symptoms, including depression and

anxiety, and parenting stress and dysfunction (van Oort et al., 2010). Therefore, treatments that reduce externalizing symptoms, as well as targeted symptom categories (e.g., anxiety), may have desirable ancillary effects, such as decreases in parental stress and depression. Indeed, some studies have observed decreased parental trait anxiety (Reaven et al., 2015) and psychological distress (Keeton et al., 2013; Lavallee et al., 2019) after treatment for their child's anxiety. Such results should be confirmed following anxiety treatment for autistic youth, particularly in parent-led approaches where they are more intimately involved in therapy (Iniesta-Sepúlveda et al., 2021; Lebowitz et al., 2012, 2016; Stewart et al., 2008; Storch et al., 2007).

Overall, prior research has indicated a link between treatment of anxiety in youth and improvement in other domains of behavior and functioning. However, there has been limited research investigating if these findings can generalize to autistic youth receiving treatment for anxiety, as well as whether other psychosocial domains improve following a lower intensity, parent-led bibliotherapy. We sought to address this gap via three primary aims. First, we assessed whether (a) child depressive and externalizing symptoms and (b) parental stress and depressive symptoms improved following stepped-care CBT for anxiety in autistic youth. Based on the multi-faceted approach of CBT, past literature (Keeton et al., 2013; Lavallee et al., 2019; Storch et al., 2015; van Steensel & Bögels, 2015) and the established association between anxiety and these other domains, we predicted that such symptom dimensions would improve. Second, we examined whether such improvements were maintained at a three-month follow-up. We predicted that improvements in youth depressive and externalizing symptoms, as well as parental stress and depressive symptoms would be maintained. Third, because of the unique stepped-care method of treatment, we explored if parents and children who entered maintenance versus step up experienced similar gains in secondary outcomes (i.e., child-reported depression, externalizing symptoms, and parental stress and depressive symptoms) at completion of the program and the three-month follow-up.

#### Method

### **Participants**

Participants and their legally authorized representative (LAR) were recruited through engagement within the community, including autism database newsletters, referrals from established autism centers, various social media platforms, and the SPARK research match database [redacted]).

Inclusion criteria for the child to participate included: (a) age 4-14 years old. The upper age limit was chosen as it was believed that a parent-led intervention may be less appropriate for 15 year old and older youth; (b) previous formal primary diagnosis of autism or baseline score of  $\geq$  65 on the Social Responsiveness Scale-Second Edition, (SRS-2; Constantino & Gruber, 2012); (c) clinically significant symptoms of anxiety or OCD, scoring  $\geq$ 4 on the Anxiety Disorders Interview Schedule Child/Parent Version (Silverman & Albano, 1996), with Autism Spectrum Addendum (ASA) to further identify anxieties related to autism (Kerns et al., 2017) *and* score >12 on the Pediatric Anxiety Rating Scale (RUPP, 2002); (d) anxiety or OCD significantly limits functioning, severity score above 3 on the CGI-Severity; (e)  $\geq$ 70 IQ by the Differential Ability Scales, Second Edition (Beran, 2007) or Wechsler Abbreviated Scale of Intelligence, Second Edition (Wechsler, 2011); (f) participant and LAR provided consent and assent to participate.

Exclusion criteria were: (a) DSM-5 diagnosis through the administration of the Mini International Neuropsychiatric Interview for Children and Adolescents (Sheehan et al., 2010) of the following disorders: bipolar disorder, psychotic disorder, or intellectual disability; (b) active suicidal/homicidal ideation, or self-harm that requires immediate medical intervention; (c) currently in treatment for anxiety with an external psychotherapist; (d) changes to medication related to either introduction of or decreasing/increasing dosage of said medication (for more information see redacted).

#### **Procedures**

All procedures were approved by the local Institutional Review Board. Prior to the COVID-19 pandemic, services were held in-person in a clinical setting and then transitioned to telehealth to abide by

safety protocols. The LAR provided written consent for both themselves and their child, and the child's written or verbal assent was also collected. Each participant (child and LAR) completed a three to four-hour baseline assessment, with an independent evaluator (IE), to determine if the eligibility criteria had been fulfilled. This interview included determining diagnoses through the Anxiety Disorders Interview Schedule and selected sections of the Mini International Neuropsychiatric Interview for Children and Adolescents (Sheehan et al., 2010). Thereafter, the participants completed additional assessments consistent with the timeline of the study that occurred at mid-treatment, post-treatment, and three months after treatment. Upon completion of each assessment, participants were compensated \$40. IEs were either master-level trainees or doctoral-candidate clinicians who received substantial training in administering the appropriate evaluations and were supervised by a licensed psychologist. IEs had weekly supervision, where clinician-administered assessments were discussed, including diagnostic criteria and the Clinical Global Impressions ratings (-Improvement and –Severity). All assessments administered by IEs for this study were audio-recorded to ensure the quality of data collection. Parent report and clinician report measures (no child report) were used in analyses.

As noted above, treatment consisted of two phases. Initially all parents entered parent-led, therapist-assisted CBT. This involved meeting with a therapist 4 times over the course of 12 weeks. Throughout the 12-weeks, parents were asked to work through the lessons and accompanying workbook found within *Helping Your Anxious Child* (Rapee et al., 2017). The parent who provided consent, and completed all self-report measures, was the same parent that attended the vast majority of their child's therapy sessions. After 12 weeks, all participants were evaluated by an IE. Participants who responded (score  $\leq 2$  on the CGI-Severity and  $\geq 6$  on the CGI-Improvement) entered the maintenance phase. The maintenance phase consisted of continuing parent-led CBT at the participant's own pace. Non-responders stepped-up to receive individual therapist-led CBT. This consisted of 10 one-hour therapist-led sessions over 12 weeks. Therapists were masters-level or advanced doctoral-candidate clinicians and were supervised by a licensed clinician. All participants were assessed at the completion of the maintenance

phase (12-weeks after entering the maintenance phase) or completion of individual therapist-led treatment. All participants were assessed once more three months following the completion of treatment. At these timepoints (mid-treatment, post-treatment and 3-month follow up) parents completed measures relating to their child's behaviors and mental health (e.g., RCADS-P, CBCL) as well as personal well-being (e.g., DASS-21). Complete information regarding procedures is described in [redacted].

#### Measures

#### Revised Child Anxiety and Depression Scale- Parent Version (RCADS-P)

The RCADS-P is a 47-item revision of the Spence Children's Anxiety Scale to evaluate child anxiety and depression based on DSM-IV criteria, including questions pertaining to disorders such as Major Depressive Disorder and Generalized Anxiety Disorder (Chorpita et al., 2000; Spence, 1998). Each item ranges from 0 to 3 based on a four-point scale, 0= never, 1= sometimes, 2= often, and 3= always (Chorpita et al., 2000). The RCADS-P Depression subscale was used for the present report. The RCADS-P was administered at pre-, mid- and post-treatment, as well as at the three-month follow up. The RCADS-P has demonstrated good psychometric properties, including convergent, discriminate, and known groups validity (Chorpita et al., 2005). The RCADS has also demonstrated acceptable convergent validity (based on significant positive correlations) with the Anxious/Depressed CBCL subscale, Multidimensional Anxiety Scale for Children, and Pediatric Rating Scale and acceptable discriminate validity (based on non-significant correlations) with the CBCL thought problems and social problems subscales (Ebesutani et al., 2010; Sterling et al., 2015) and test-retest reliability (Kaat & Lecavalier, 2015) in autistic youth. Internal reliability in this sample at baseline was excellent (α = 0.92). Only parent report of depression was utilized to maintain the same informant across all measures.

### Child Behavior Checklist (CBCL)

The CBCL is a 112-item parent-rated measure that assesses child problem behaviors and adaptive behavior (Achenbach, 1999). Items are based on a three-point scale ranging from 0 to 3 with 0=not true,

1=somewhat or sometimes true and 2= very true. For the current report, the externalizing subscale was utilized for analyses. The CBCL was administered at all three time points (pre-treatment, mid-treatment, post-treatment, three-month follow-up). The CBCL demonstrates good discriminant validity between youth with autism and youth with autism and emotional and behavioral disorders (Pandolfi et al., 2012). Furthermore, externalizing and internalizing subscales were factorially confirmed within a sample of autistic youth (Pandolfi et al., 2012), supporting construct validity of the measure. The internal reliability of the internalizing subscale ( $\alpha = 0.89$ ) and externalizing subscale ( $\alpha = 0.90$ ) at baseline was good.

## Depressive, Anxiety, and Stress Symptoms-21 item version (DASS-21)

The DASS-21 consists of 21 items measuring the severity and frequency of depression, anxiety, and stress symptoms over the past week. The DASS-21 is the shortened version of the DASS (Lovibond & Lovibond, 1995, 1996). Items are based on a four-point scale, 0 = Did not apply to me at all, 1 = Applied to me to some degree, or some of the time, 2 = Applied to me a considerable degree or a good part of the time, 3 = Applied to me very much, or most of the time. Parents completed this measure as a self-report. This measure was used at all three time points. The DASS-21 has been shown to have good psychometric properties, including divergent validity with the Physical Component Summary and Positive Mental Health Scale and convergent validity with the Mental Component Summary score, Beck Depression Inventory, Beck Anxiety Inventory, and the State-Trait Anxiety Inventory – Trait Version (Antony et al., 1998; Bibi et al., 2020; Sinclair et al., 2012). Internal reliability in this sample at baseline was excellent ( $\alpha = 0.94$ ).

### **Analysis Plan**

Little's test indicated the data were missing completely at random,  $\chi^2$  (7) = 4.25, p = .75. That said, there was a high proportion of dropout among families who were enrolled during the beginning of the COVID-19 pandemic (66% of families compared with 26% among families who began during the

pandemic), resulting in a high rate of missing data (29-30% per measure), and thus a maximum likelihood approach was used to estimate missing data.

Multilevel modeling was used to determine rates of change across treatment, with separate models run for post-treatment (after Step 1 and maintenance or after Step 1 and Step 2) and 3-month follow-up final assessment points. Models were re-run for each dependent variable of interest (RCADS-P Depression, DASS-Stress, DASS-Depression, and CBCL-Externalizing). Simulation studies have identified sample sizes of 50+ with 5+ repeated measures as ideal for multilevel modeling, with particular advantages for increasing sample (rather than assessment points; Maas & Hox, 2005). This study included 76 enrolled participants with 4 assessments per participant, and thus was considered adequately powered. Data were analyzed using SPSS Version 27.

#### Results

## Sample description and demographics

Ninety-six participants were screened between January 2019 through November 2020 with a confirmed diagnosis of ASD and co-occurring symptoms of anxiety or OCD. Following screening, 11 were found to be ineligible, 2 unwilling to participate, and 6 lost to follow-up. Seventy-six entered treatment, (n = 76). Of those 76, 12 dropped out, 44 stepped-up and 20 entered the maintenance phase. See Fig 1 (originally published in redacted) for full CONSORT diagram. Ages ranged from 4-14 (M age = 10.39 years, SD = 2.86 years) with a majority identifying as male (84.4% male, 15.6% female). See Table 1 for complete participant (child and parent) demographics. At baseline, there were no differences between children who stepped-up on the CBCL-Externalizing scale (M = 14.63, SD = 9.31) relative to those who entered the maintenance phase (M = 13.00, SD = 8.78), t(62) = -0.64, p = 0.525. Additionally, there were no differences in RCADS-P Depression Scores between those who stepped-up (M = 6.4, SD = 3.97) and those who entered the maintenance phase (M = 4.44, SD = 2.77), t(61) = -1.91, p = 0.06). Additionally, there were no statistically significant differences between full-scale IQ in those who

stepped-up (N=31, M= 103.06, SD= 16.4, R=77 to 131) and those who stepped down (N=14, M= 104.07, SD= 19.55, R= 70 to 151), t(43) = 0.180, p=0.858. Lastly, there were no statistically significant differences in the verbal composite IQ between those who stepped-up (N=13, M= 102.57, SD= 17.84, R= 68 to 136) and those who entered the maintenance phase (N=30, M= 100.69, SD= 22.911, R= 59 to 128), t(41) = -0.290, p=0.796.

There were no statistically significant baseline differences between parent DASS-21 Stress scores between parents whose children stepped-up (M=5.26, SD= 3.74) and those whose children entered the maintenance phase (M=4.56, SD= 3.54), t(62) = -0.688, p=0.292. Additionally, there were no statistically significant baseline differences between parent DASS-21 Anxiety scores between parents whose children stepped-up (M=1.89, SD= 2.37) and those whose children entered the maintenance phase (M=1.50, SD= 2.52), t(62) = -0.583, p=0.562. Lastly, there were no statistically significant baseline differences between parent DASS-21 Depression scores between parents whose children stepped-up (M=2.30, SD= 2.67) and those whose children entered the maintenance phase (M=1.44, SD= 2.43), t(62) = -1.185, p=0.24. These scores are all considered to be within the normal range (Antony et al., 1998).

## **Change across treatment**

**Post-treatment outcomes**: In the model predicting post-treatment R-CADS-P Depression and CBCL-Externalizing scores, results echoed findings from the primary anxiety analysis (redacted); significant linear decline in RCADS-P Depression and CBCL-Externalizing scores were found across the whole sample, indicating improvement in depressive and externalizing symptoms, RCADS-P Dep: b = -4.35, p < .001; CBCL-Ext: b = -9.10, p < .001. There was also an overall significant positive quadratic trend for both, RCADS-P Dep: b = 1.62, p = .006; CBCL-Externalizing: b = 3.40, p = .006. In other words, participants experienced steep initial improvement during parent-led bibliotherapy followed by a relative decline in the rate of improvement. Those who entered maintenance phase after parent-led bibliotherapy experienced more rapid improvements in RCADS-P Depression, b = 4.32, p = .003, and CBCL-

Externalizing scores, b = 7.77, p = .011, as well as a more positive quadratic trajectory (i.e., steeper improvement initially followed by a decline in the rate of improvement through post-treatment), RCADS-P Dep: b = -2.14, p = .003; CBCL-Ext: b = -3.36, p = .023. In contrast, DASS subscales did not show significant change through post-treatment or a quadratic trajectory of this change, nor were these effects moderated by step status. Please see Table 2 for a summary.

Three-month follow-up analysis: RCADS-P Depression showed significant linear decline through the three-month follow-up period, b = -2.58, p = .001, as well as a positive quadratic effect, b = 0.59, p = .020. Step up/maintenance phase status after parent-led bibliotherapy no longer moderated the rate of change significantly. CBCL-Externalizing scores also showed a significant linear decline, b = -4.67, p = .006, though a significant quadratic trend was not found when analyses extended through the three-month follow-up, b = 0.88, p = .11. Neither of these effects were moderated by the step up/maintenance phase status after parent-led bibliotherapy. DASS subscales did not show significant linear or quadratic change through three-month follow-up, and these effects were not moderated by step up/maintenance status. Please see Table 2 for a summary.

#### **Discussion**

This study examined secondary effects of a stepped-care CBT model for autistic youth with anxiety disorders that included parent-led CBT as an initial low-intensity intervention. In this anxiety-focused treatment, there were decreases in child depressive and externalizing symptoms, regardless of whether they initially stepped-up from parent-led to individual CBT or if they entered maintenance. Child depressive and externalizing symptoms continued to decline through the three-month follow-up period across these groups. However, there were no changes in parental depression and stress over the course of the study. This suggests the efficacy of a stepped-care model for reducing both primary and secondary concerns for autistic youth. Importantly, this extends previous findings that stepped-care CBT for autistic youth with anxiety provides secondary benefits, such as improved mood and decreased depression

(Bemmer et al., 2021; Storch et al., 2013; Wood et al., 2020). This suggests that, for a meaningful portion of autistic youth with anxiety, standard-care CBT was not required to achieve significant improvements. This can ultimately improve access to care for autistic youth with anxiety through both the lowered cost of stepped-care models (Salloum et al., 2022) and decreased need for time-intensive therapist-guided CBT, as this stepped-care model began with parent-led bibliotherapy.

However, there were differences in the rate of improvement between those who entered the maintenance phase and those who stepped-up. As might be expected, we found that those who entered the maintenance phase (and thus were classified as responders based on anxiety improvement) improved more rapidly than those who stepped-up following parent-led CBT. However, stepped status did not continue to moderate the rate of improvement at the three-month follow up, in that following the completion of treatment, both groups had similar improvements in both youth depression and externalizing symptoms. These results mirror the outcomes and rate of decline for anxiety symptoms in this sample (redcated). Overall, this suggests that the stepped-care model of care for autistic youth with anxiety provides adequate care that lessens secondary symptoms, such as depression and externalizing symptoms. Importantly, the stepped status does not influence the ultimate gains made during treatment, as assessed by three-months following the completion of assessment. Patients may receive a less time and cost intensive therapy (parent-led bibliotherapy) without compromising their quality of care and overall treatment gains. This is especially important to consider in light of the growing need for therapists and inadequate availability of providers (Marques et al., 2020). A stepped-care model allows participants to receive necessary, effective care that not only reduces the target symptom (i.e., anxiety) but additional symptoms and behaviors that may impact quality of life.

Contrary to our hypothesis, there were no significant changes in parental stress and depression at both the post-treatment assessment and three month follow up. This may have resulted from a relatively low baseline level of parental stress, suggesting a floor effect. The intervention may not have impacted

parental stress sufficiently, especially as the initial phase required parent-led treatment. Finally, the COVID-19 pandemic may have influenced overall stress levels, independent of treatment.

Overall, these results also support the utility of telehealth therapy for autistic youth with anxiety, as therapy was conducted both in-person and virtually due to the COVID-19 pandemic. Previous literature has demonstrated that tele-health treatment is as efficacious in person (Ellison et al., 2021; Sutherland et al., 2018). Tele-delivered therapy may decrease access barriers as well as increase the comfort of youth in participating in therapy (Kalvin et al., 2021). However, some aspects of CBT for anxiety in this population must change, such as the nature of exposure, and social reciprocity during therapy, particularly in autistic youth with social anxiety (Kalvin et al., 2021). With proper communication, and adaptations, such as further involvement of parents, teletherapy for autistic youth with anxiety seems to be effective, as evidenced by this project and others (e.g., Byrne et al., 2022; Estabillo et al., 2022).

Despite these generally promising results, several study limitations should be noted. First, this study occurred during the COVID-19 pandemic, which required treatment to be provided both in-person and virtually. Second, the COVID-19 pandemic was also associated with increased anxiety and distress (Daly & Robinson, 2021), which may have influenced findings. On balance, demonstrated child effects are even more impressive when considering this. Second, due to limitations of study design, all results relied on parent report. Some evidence suggests that parent-report and child-report on mental health symptomology may be inconsistent, with correlations around 0.5 for the RCADS (Muris et al., 2003). As such, future research should investigate if these scores are maintained in both child and clinician-report. Third, inclusion in the study precluded individuals with cognitive functioning in the lower range.

Therefore, these results may not be generalizable to this cohort of autistic children and adolescents with anxiety. Fourth, this study did not include a control condition. Future research should consider including an active comparator condition to bolster conclusions that some individuals benefit from low-intensity care. Lastly, there was relatively high attrition, particularly during the transition from in-person to

telehealth care, with 12 families dropping out during parent-led bibliotherapy, 1 family during maintenance, and 16 families during stepped-up individual CBT. However, these rates of attrition are similar to other stepped-care projects (Salloum et al., 2022).

Overall, these data provide support for the broad effects of stepped-care treatments for autistic youth with anxiety. Youth depressive and externalizing symptoms were reduced following treatment and maintained three-months after completion of treatment, despite not being the direct target of treatment. Additionally, stepped status did not moderate symptom improvement at the three-month follow up, similar to results found in this sample for anxiety (redacted). Importantly, these symptoms often influence quality of life along with anxiety in adolescents (Armstrong et al., 2015; Williamson et al., 2021). Therefore, noting these symptom reductions strengthens the support of this care model. Additionally, stepped-care models can be cost effective, as patients are able to conserve resources without sacrificing the quality of their care (Chatterton et al., 2019). Future studies should further investigate the potential reduced financial burden on families and the therapy-system, as well as randomized designs that compare stepped-care and standard CBT. Additionally, future projects should determine predictors of response in order to preemptively determine which families may benefit by immediately receiving more intensive care.

## **Compliance with Ethical Standards**

This study involved human participants and it was approved by the Institutional Review Board at the corresponding author's institution. Informed consent and permission for the child to participate was obtained from all parent participants. Written or verbal assent was obtained from child participants when it was possible.

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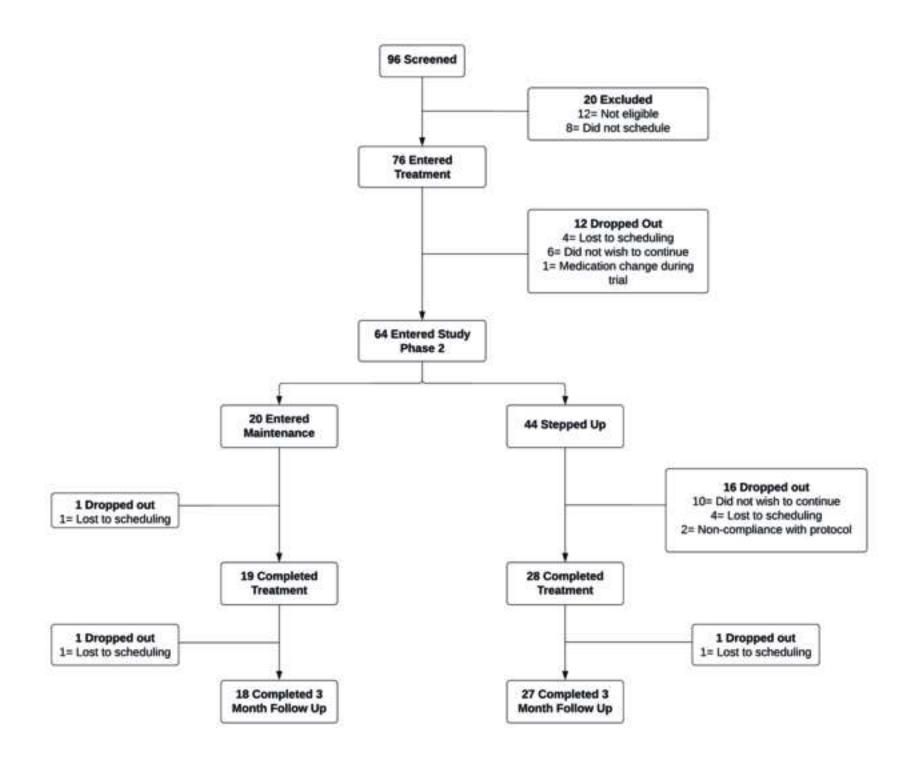


Table 2
Fixed and Random Effects of Final Models

	RCADS-P-D		CBCL-E		DASS-S		DASS-D		DASS-A	
	Post	3 M FU	Post	3 M FU	Post	3 M FU	Post	3 M FU	Post	3 M FU
Fixed effects	b	b	b	В	b	b	b	В	b	b
Intercept	4.74* **	4.55*	13.26* **	12.83*	4.37*	4.34*	1.37*	1.3 8*	1.47*	1.45
Step Status <sup>a</sup>	1.7	2	1.37	1.84	0.97	1.06	0.97	1.0	0.41	0.48
Linear time	- 4.35* **	- 2.58* *	- 9.10** *	- 4.67**	-0.92	- 0.917 9	-0.23	- 0.4 3	-0.52	-0.35
Time*ti me	1.62*	0.59*	3.40**	0.88	0.34	0.341 51	0.18	0.2 7	0.24	0.13
Step Status*ti me	4.31*	1.35	7.77*	2.91	1.3	1.296 33	1.65	0.8 5	0.86	0.15
Step Status*ti me* time	- 2.14* *	-0.42	-3.36*	-0.58	-0.5	- 0.499 3	-0.94	- 0.4 5	-0.52	0.091
Random effects										
Residual	3.63*	4.13*	15.45*	19.31*	5.45* **	5.49* **	3.14*	3.5 9	2.45*	3.23*
Intercept	8.54* **	8.47* **	59.46* **	55.62* **	5.42* **	5.65* **	3.03*	2.9	3.23*	3.12*
Time	0.39	b	4.67	0.63	0.52	0.38	b	b	b	b
Time*ti me	b	b	b	b	b	b	0.065	0.0 31	0.11 <sup>b</sup>	b

<sup>+</sup>p<.06; ++p=.050 \*p < .05, \*\*p < .01, \*\*\* p < .001

a Step status refers to a binary variable of whether the participant participated in steps 1 and 2 vs. step 1 and maintenance

b Random effect was redundant with other terms and not estimated

*Note.* PARS=; RCADS-P-D=Revised Child Anxiety and Depression Scale, Depression Subscale Parent Report; DASS-D = Depression, Anxiety, Stress Scale, Depression subscale; DASS-S= Depression, Anxiety, Stress Scale, Stress Subscale; DASS-A= Depression, Anxiety, Stress Scale, Anxiety Subscale; CBCL-E = Child Behavior Checklist, Extenalizing Symptoms Subscale

Table 1

Baseline Characteristics and Demographics

	Full sample, N=76	Step 1 responder, N=18	Step 1 Non- responder, N=46	Difference btw <sup>a</sup>	Effect size [95% CI <sup>b</sup> ]
Primary diagnosis, N (%)				$\chi 2 (1) = 5.57$	
Specific phobia	15 (20%)	5 (28%)	4 (9%)		OR 4.03 [0.94, 17.29]
Social anxiety	12 (16%)	3 (17%)	7 (15%)		OR 1.11 [0.25, 4.88]
Separation anxiety	21 (28%)	3 (17%)	16 (35%)		OR 0.38 [0.094, 1.49]
Generalized anxiety disorder	16 (21%)	5 (28%)	10 (22%)		OR 1.38 [0.40, 4.82]
Obsessive– compulsive disorder	4 (5%)	0 (0%)	4 (9%)		N/A
Other specified anxiety disorder	8 (11%)	2 (11%)	5 (11%)		OR 1.03 [0.18, 5.83]
Major Depressive Disorder	10 (13.2%)	1 (5.6%)	6 (13%)	$\chi 2 (1) = 0.745$	
PTSD	2 (2.6%)	0 (0%)	1 (2.2%)	$\chi 2 (1) = 0.398$	
Tic Disorders	10 (13.2%)	3 (16.7%)	7 (15.2%)	$\chi 2 (1) = 0.021$	

ADHD	51 (67.1%)	15 (83.3%)	29 (63%)	$\chi 2 (1) = 2.479$	
Conduct Disorder	4 (5.3%)	2 (11.1%)	2 (4.3%)	$\chi 2 (1) = 1.01$	
ODD	18 (23.7%)	3 (16.7%)	9 (19.6%)	$\chi 2 (1) = 0.071$	
Age, M (SD)				t (62) = 1.09	OR .30 [25, .85]
Child gender, N (%)				$\chi 2 (1) = 0.071$	OR 0.82 [0.78, 1.80]
Male	63 (83%)	15 (83.3%)	37 (80.4%)		
Female	13 (17%)	3 (16.7%)	9 (19.6%)		
Race				$\chi 2 (1) = 0.10c$	OR 1.22c [0.55, 2.72]
White	57 (75%)	13 (72.2%)	35 (76.1%)		
Black or African American	6 (7.9%)	1 (5.6%)	4 (8.7%)		
Asian	5 (6.6%)	0 (0%)	5 (10.9%)		
American Indian or Alaskan Native	1 (1.3%)	1 (5.6%)	0 (0%)		
Mixed race	6 (7.9%)	3 (16.7%)	2 (4.3%)		
Other	1 (1.3%)	0 (0%)	0 (0%)		
Ethnicity				$\chi 2 (1) = 0.071$	OR 1.14 [0.51, 2.58]

Hispanic or Latino	26 (34.2%)	6 (33.3%)	14 (30.4%)	
Not Hispanic or Latino	50 (65.8%)	12 (66.7%)	32 (69.6%)	
Parent Information				$\chi 2 (1) = 1.232$
Relationship to Child – Biological Parent	72 (94.7%)	18 (100%)	43 (93.5%)	
Relationship to Parent – Adoptive Parent	2 (2.6%)	0 (0%)	2 (4.3%)	
Relationship to Parent - Other	2 (2.6%)	0 (0%)	1 (2.2%)	
Parent Gender				$\chi 2 (1) = 0.181$
Male	10 (13.2%)	2 (11.1%)	7 (15.2%)	
Female	66 (86.8%)	16 (88.9%)	39 (84.8%)	
Child Living Arrangements				$\chi^2(4) = 2.143$
Live with both biological parents (same residence)	60 (78.9%)	16 (88.9%)	35 (76.1%)	
Lives with both biological parents (different residences)	3 (3.9%)	1 (5.6%)	2 (4.3%)	
Lives with single parent	10 (13.2%)	1 (5.6%)	6 (13.0%)	
Lives with mother and	2 (2.6%)	0 (0%)	2 (4.3%)	
stepfather Other	1 (1.3%)	0 (0%)	1 (2.2%)	

Note. \*p < .05; \*\*p < .01; \*\*\*p < .001 
aTested with  $\chi^2$  for categorical variables to test proportion differences and t tests for continuous variables

<sup>b</sup>ORs in the education comparisons were calculated by comparing the odds of being a Step 1 responder in the identified race/educational group vs. the other groups (e.g., the odds of participants with mothers with a bachelor's degree responding to Step 1 vs. the odds of participants with another level of education responding to Step 1). ORs in the race category were calculated as White vs. non-White because of the small number of non-White participants, and thus limited statistical power to compare to other groups individually

<sup>c</sup>Refers to White vs. non-White comparison because of the limited sample identifying as non-White