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## Combined transcranial direct current stimulation with sensory-based treatments in autism: Preliminary report

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15

1 **1. Introduction**

2 Autism spectrum condition (ASC) is a heterogeneous disorder characterized by lifelong  
3 social communication difficulties, the presence of restricted, repetitive patterns of  
4 behaviors and interests, and atypical responsiveness to sensory stimuli (American  
5 Psychiatric Association, 2013). While these core features define the condition, ASC  
6 manifests in a wide range of clinical phenotypes, leading to diverse treatment needs.  
7 Therefore, developing therapeutic approaches that effectively address this heterogeneity  
8 should be prioritized in clinical research and practice.

9 Recent advances in neuroscience suggest that the symptoms of ASC may be linked to an  
10 imbalance in excitation and inhibition (E-I) within critical brain regions involved in social  
11 cognition, sensory processing, and cognitive control (Robertson & Baron-Cohen, 2017;  
12 Uzunova, Pallanti, & Hollander, 2016). Consequently, there is growing interest in advanced  
13 therapeutic approaches targeting these specific brain regions (Sousa, Martins, Castelo-  
14 Branco, & Goncalves, 2022; Uzunova et al., 2016). Transcranial direct current stimulation  
15 (tDCS), a non-invasive neuromodulatory technique, modulates cortical excitability and  
16 neuronal activity via a low-intensity direct current (Lefaucheur et al., 2017; Nitsche & Paulus,  
17 2000; Priori et al., 1998). The effects of local stimulation can influence distant brain regions  
18 through network-level changes, ultimately leading to the reorganization of dysfunctional  
19 neural circuits (Palm et al., 2016). The prefrontal cortex (PFC) has long been implicated in

1 top-down control of behavior (Miller & Cohen, 2001) and altered frontal functioning is  
2 thought to be a potential mechanism underlying the pathophysiology of ASC (Just, Keller,  
3 Malave, Kana, & Varma, 2012; Osorio & Brunoni, 2019). Accordingly, targeting the PFC,  
4 particularly in the left hemisphere, might be critical in alleviating ASC symptoms  
5 (Finisguerra, Borgatti, & Urgesi, 2019). Previous studies have found that anodal stimulation  
6 over the left PFC reduces ASC symptom severity (Gomez et al., 2017), improves verbal  
7 fluency (Rotharmel et al., 2019; Schneider & Hopp, 2011), enhances sensory awareness, and  
8 alleviates social and behavioral difficulties (Amatachaya et al., 2014; Amatachaya et al.,  
9 2015; Gomez et al., 2017; Hadoush, Nazzal, Almasri, Khalil, & Alafeef, 2020). A recent meta-  
10 analysis further demonstrated that tDCS can significantly improve socializing behaviors,  
11 health status, and behavioral difficulties in individuals with ASC (Garcia-Gonzalez et al.,  
12 2021). However, while tDCS shows promise, it is increasingly recognized not as a stand-alone  
13 treatment but as a complementary approach that may enhance the efficacy of other  
14 therapeutic interventions. For example, in the treatment of depression, tDCS has been used  
15 in conjunction with cognitive-behavioral therapy to facilitate neuroplasticity and support the  
16 reorganization of neural circuits involved in mood regulation (Aust et al., 2022). This  
17 suggests that tDCS may enhance the efficacy of other treatments by creating a neural  
18 environment conducive to therapeutic change.

1        Sensory-based treatments (SBT) are clinical interventions aimed at improving sensory  
2        and behavioral responsiveness in children with neurodevelopmental and behavioral  
3        disorders through sensory integration strategies (Ayres, 1972). Traditionally, occupational  
4        therapists create individually tailored play-based activities that incorporate specialized  
5        therapeutic modalities to provide varying levels of sensory experience and challenge (Case-  
6        Smith, Weaver, & Fristad, 2015; May-Benson & Koomar, 2010; Schoen et al., 2019). Although  
7        SBT is widely used in clinical practice and has been shown to be helpful for individuals with  
8        ASC (Case-Smith et al., 2015; Koomar & Bundy, 2002; Parham et al., 2007), much of the  
9        available evidence comes from case reports and series (Camarata, Miller, & Wallace, 2020).  
10       Few randomized controlled trials (RCTs) have demonstrated that SBT can reduce ASC  
11       symptom severity, improve sensory functioning, and alleviate social difficulties and self-care  
12       challenges (Kashefimehr, Kayihan, & Huri, 2018; Pfeiffer, Koenig, Kinnealey, Sheppard, &  
13       Henderson, 2011; Schaaf et al., 2014). Furthermore, a comprehensive study indicated that  
14       SBT enhances individualized goals related to functioning, autistic behaviors, sensory  
15       processing, and motor skills (Schaaf, Dumont, Arbesman, & May-Benson, 2018). Recent  
16       research has highlighted the potential of sensory-based interventions to positively influence  
17       early brain development. For instance, sensory-based interventions have been linked to  
18       improvements in brain growth and early developmental outcomes in preterm infants,  
19       indicating potential benefits for sensory processing and neural connectivity (Beltrán et al.,

1 2022). Translational studies have also demonstrated that multisensory-based approaches  
2 can enhance neuroplasticity and contribute to the functional organization of neural  
3 networks in early intervention contexts (Purpura et al., 2017). Moreover, an RCT has been  
4 conducted to examine the effects of multisensory interventions on neural processing,  
5 language, and motor outcomes in preterm infants (Neel et al., 2019). These findings suggest  
6 that SBT may promote brain development and serve as a foundation for augmentative  
7 interventions that further enhance therapeutic outcomes.

8         Given the heterogeneous nature of ASC, a multidisciplinary or combined treatment  
9 approach may be necessary to address its diverse symptomatology (Politte, Howe, Nowinski,  
10 Palumbo, & McDougle, 2015; Delli, Polychronopoulou, Kolaitis, & Antoniou, 2018). Emerging  
11 research suggests that individuals with ASC may over-attribute salience to irrelevant sensory  
12 information while lacking the ability to down-regulate brain responses to such stimuli  
13 (Green, Hernandez, Bookheimer, & Dapretto, 2016; Green, Hernandez, Bowman,  
14 Bookheimer, & Dapretto, 2018). Therefore, normalizing sensory experiences and enhancing  
15 down-regulation processing may synergistically affect ASC symptoms. In this study, we  
16 developed a hybrid intervention combining two different yet complementary treatments:  
17 SBT and tDCS. We conducted a randomized, sham-controlled study in children with ASC to  
18 investigate the potential effects of these hybrid treatments. These treatments consisted of  
19 SBT combined with active tDCS over the left PFC (SBT+AtDCS) and SBT combined with sham

1 tDCS over the left PFC (SBT+StDCS). We hypothesized that children with ASC receiving  
2 SBT+AtDCS would show greater improvements in autism severity, sensory functioning, and  
3 behavioral difficulties from pre-treatment to post-treatment.

## 4 **2. Methods**

### 5 **2.1 Participants**

6 We recruited 11 children with ASC, aged between 5 and 7 years, from a medical center  
7 in Taipei. One additional participant was excluded from the study because her parents  
8 missed several items on the questionnaires, resulting in incomplete data across all outcome  
9 measures. Eligible participants were required to be diagnosed with ASC by certified child  
10 psychiatrists according to the DSM-5 (American Psychiatric Association, 2013) and have a  
11 DSM-5 ASC severity level ranging from mild (level 1) to moderate (level 2) before entering  
12 the study. Participants were not on any medication for three months prior to the study and  
13 were required to refrain from taking any medication or participating in any other treatment  
14 experiments during the study period. The exclusion criteria were: (1) children with ASC  
15 severity level 3 (requiring very substantial support) according to DSM-5 criteria. These  
16 children may face challenges in maintaining attention and cooperation during the treatment,  
17 potentially introducing variability that could affect the study's findings and compromise both  
18 the safety and effectiveness of tDCS; (2) a history of head injury, arteriovenous  
19 malformation, brain surgery, encephalitis, or meningitis; (3) primary health problems that

1 could limit participation (e.g., concurrent epilepsy; comorbid diagnosis of intellectual  
2 disability, other psychiatric or medical conditions); and (4) skin diseases at and near tDCS  
3 electrode application sites. Parents or caregivers of all eligible children with ASC had to  
4 complete an informed consent form before the experiments. This study was approved by the  
5 local institutional review board and conducted in accordance with the Declaration of  
6 Helsinki.

## 7 **2.2 Procedures**

8 A randomized, double-blind, sham-controlled design with pre-treatment and post-  
9 treatment was conducted in this study. All participants were randomly assigned to either the  
10 SBT+AtDCS or SBT+StDCS group using a computer-generated randomization sequence in a  
11 1:1 ratio. The tDCS setup was performed by an independent experimenter who was unaware  
12 of the study hypothesis. A second independent experimenter (a research assistant), also  
13 blinded to the stimulation conditions, administered the tDCS treatment. These procedures  
14 ensured the integrity of the double-blind design.

15 Before and after interventions, parents or caregivers of children with ASC were asked  
16 to complete the Chinese versions of the Autism Treatment Evaluation Checklist (Fang, Ren,  
17 Li, & Ke, 2019; Rimland & Edelson, 1999), the Child Behavior Checklist (Achenbach &  
18 Edelbrock, 1991; Chen, Huang, & Jao, 2009), and the Sensory Profile (Dunn, 1999) (Figure 1).  
19 Each participant also completed an adverse-effects questionnaire, which was verbally

1 administered verbally by the examiner. Simplified language was used, and adjustments were  
2 made according to each child's level of understanding to assess their discomfort before and  
3 after each tDCS session. The questionnaire used a 10-point Likert scale (with 1 indicating no  
4 discomfort and 10 indicating extreme discomfort) to assess the following symptoms:  
5 headache, pain, nausea, facial/neck muscle contractions, burning and stinging sensations  
6 under the electrodes, uncomfortable feelings, and other sensations and/or adverse effects.  
7 Parents or caregivers were also asked to report whether their children had experienced any  
8 of these symptoms. If symptoms were severe (rating more than 7 points) and persisted for  
9 more than 30 minutes after cessation of stimulation, tDCS treatment would be discontinued  
10 (Charvet, Shaw, Bikson, Woods, & Knotkova, 2020).

### 11 **2.3 Intervention protocols**

12 All participants were randomized and received one of the two interventions  
13 (SBT+AtDCS or SBT+StDCS) for ten sessions [50 minutes/day (initial 20 minutes of tDCS and  
14 then 30 minutes of SBT), five days/week for two consecutive weeks]. Each session began  
15 with 20 minutes of tDCS delivered concurrently with watching kid-friendly cartoons,  
16 followed by 30 minutes of SBT. SBT and tDCS treatments were provided by a senior certified  
17 occupational therapist and a research assistant, respectively. Both were responsible for the  
18 structure of the treatment during each treatment session. This included determining the  
19 types of sensory activities, duration of each sensory task, and recording participants'

1 responses to both tDCS and SBT. These details were reviewed by the principal investigator of  
2 this study to ensure standardization of the intervention. Outside the study, interventions and  
3 all routine interdisciplinary treatments proceeded as usual. If participants had not received  
4 any ASC-related treatments (e.g., mediations or rehabilitative programs) before entering the  
5 trial, such treatments were prohibited throughout the study.

#### 6 *Transcranial direct current stimulation (tDCS) protocol*

7 Each participant received one daily session of tDCS (Neuroconn tDCS stimulator,  
8 München, Germany) for ten sessions. Participants sat comfortably during both active and  
9 sham stimulation sessions while watching kid-friendly cartoons (Gomez et al., 2017). At the  
10 beginning of each session, a pair of rubber electrodes, inserted into 35 cm<sup>2</sup> sponges soaked  
11 in saline solution, were attached to the heads of children with ASC. In the AtDCS group, the  
12 anodal stimulation was applied over the left PFC at the F3 site according to the 10/20  
13 international EEG electrode system (Amatachaya et al., 2014; Amatachaya et al., 2015). The  
14 cathode was placed on the contralateral upper arm. Anodal tDCS was applied for 20  
15 minutes, with the current gradually ramping up to 1 mA over the first 30 seconds and  
16 maintained this intensity throughout the session. Stimulation gradually ramped down during  
17 the last 30 seconds of the 19th minute.

18 Children with ASC in the sham stimulation group received sham stimulation on the left  
19 PFC. A ramp up phase was applied for 30 seconds in the sham condition. This was followed

1 by a one-mA stimulation for 30 seconds and finished with a 30-second ramp down phase.

2 This ensured that the participant experienced the typical sensations on the skin at the

3 beginning of the condition, avoiding awareness of undergoing the sham condition

4 (Amatachaya et al., 2014; Amatachaya et al., 2015). After the initial 2 minutes of stimulation,

5 all participants were asked whether they experienced any discomfort or pain at the

6 electrode sites. If the discomfort persisted, the stimulation was terminated immediately.

### 7 *Sensory-based treatment (SBT) protocol*

8 Following each tDCS daily session, all children with ASC received 30 minutes of SBT. The

9 SBT program was based on Ayres' theory of sensory integration (Ayres, 1972), and followed

10 a protocol similar to that described by Schaaf et al. (Schaaf et al., 2014). Before treatment

11 initiation, a senior certified occupational therapist evaluated each child's sensory needs with

12 ASC through clinical observation and the Sensory Profile. Based on these assessments, the

13 same therapist provided tailored sensory-based activities to meet each child's individual

14 needs. The activities incorporated various modalities and exercises targeting different

15 sensory domains. These included toys emitting different light and sound frequencies to

16 enhance visual and auditory experiences, gentle brush strokes along the dorsal surface of

17 the child's forearm, suspended swings (e.g., platform swings, bolster swings, rollers) for

18 vestibular stimulation, finger painting activities for tactile experiences, and wearing a

19 weighted vest while playing tug of war or bouncing on the space hopper for proprioceptive

1 stimulation. The level of challenge was adjusted based on each child's abilities and progress  
2 throughout the intervention.

### 3 **2.4 Outcome measures**

4 The Autism Treatment Evaluation Checklist (ATEC) is a 77-item diagnostic assessment  
5 tool designed to evaluate the effectiveness of autism treatment (Rimland & Edelson, 1999).  
6 It is applicable to children aged 5-12 years and is completed by caregivers, providing a total  
7 score and scores for four subscales: speech/language/communication; social; sensory and  
8 cognitive awareness; and health/physical/behavior. Higher scores indicate greater difficulties  
9 in each domain, while lower scores suggest improvements. The Chinese version of ATEC has  
10 proven to be a reliable and valid scale (Fang et al., 2019).

11 The Child Behavior Checklist (CBCL) is a component of the Achenbach System of  
12 Empirically Based Assessment. The CBCL consists of 113 items to assess child adaptive and  
13 maladaptive behaviors in children. These behaviors are categorized into internalizing  
14 problems (e.g., anxious or depressed, withdrawn or depressed, and somatic complaints),  
15 externalizing problems (e.g., rule-breaking and aggressive behaviors), and the DSM-  
16 associated scales (e.g., affective problems, attention-deficit/hyperactivity problems, and  
17 oppositional defiant problems) (Achenbach & Edelbrock, 1991). Each item is rated on a 3-  
18 point scale from 0 (not true) to 2 (very true), with higher scores indicating more significant  
19 behavioral problems. The test-retest reliability and criterion validity of the CBCL have been

1 confirmed (Leung et al., 2006).

2 The Sensory Profile (SP) is a widely used assessment tool to evaluate how children aged  
3 3 to 10 process sensory information during their daily activities (Dunn, 1999). The SP  
4 examines sensory processing patterns across various domains, including auditory, visual,  
5 tactile, gustatory, olfactory, proprioceptive, and vestibular processing. Parents or caregivers  
6 use a 5-point Likert scale to rate the child's responses to sensory stimuli and activities. Lower  
7 scores on the SP indicate greater challenges in sensory processing. The test-retest reliability  
8 of the Chinese version of the SP in autism is optimal (Yang, Tseng, Cermak, Lu, & Shieh,  
9 2020).

## 10 **2.5 Statistical analysis**

11 Given the small sample size, non-parametric statistical tests were used for the analyses.  
12 A paired Wilcoxon test was employed to compare pre-treatment and post-treatment raw  
13 scores for the ATEC, the CBCL, and the SP within each group. To evaluate between-group  
14 differences in change scores, the Mann-Whitney *U* test was applied. Change scores were  
15 calculated as proportional difference: (post-treatment score – pre-treatment score) / pre-  
16 treatment score. We also estimated 95% confidence interval (CI) for the difference between  
17 medians was estimated using bootstrapping procedures (Davison & Hinkley, 1997).  
18 Statistical significance was set at  $p < 0.05$  and the effect size ( $r$ ) was calculated for significant  
19 differences, with thresholds for small ( $< 0.3$ ), medium (0.3–0.5), and large ( $> 0.5$ ) effects.

### 1 3. Results

2 To assess the success of blinding, participants and their parents were asked after the  
3 final session whether they could determine if they were in the treatment or control group.  
4 All responded that they could not, indicating successful blinding. These procedures ensured  
5 the integrity of the double-blind design. Six children with ASC received SBT combined with  
6 active tDCS. The demographic data and results from the ATEC, the CBCL, and the SP  
7 assessments for all study participants are presented in Table 1.

8 In the SBT+AtDCS group, the ATEC total score significantly improved from pre-treatment  
9 to post-treatment (95% CI: -19—-3.5,  $Z = -2.207$ ,  $p = 0.027$ , effect size  $r = 0.637$ ) (Figure 2A).  
10 Significant improvements were also observed in the ATEC subscales for  
11 speech/language/communication (95% CI: 1—9.5,  $Z = -2.032$ ,  $p = 0.042$ , effect size  $r = 0.587$ )  
12 and health/physical/behavior (95% CI: -14.5—-1.5,  $Z = -2.214$ ,  $p = 0.041$ , effect size  $r = 0.639$ ).  
13 However, no significant improvements were found in the SBT+StDCS group for the ATEC total  
14 score or any subscales. Between-group comparison using the Mann–Whitney  $U$  test did not  
15 reveal significant difference in change scores for the ATEC total scale (95% CI: -0.67—0.13,  $Z =$   
16  $-1.643$ ,  $p = 0.1$ , effect size  $r = 0.495$ ). A power analysis estimated that a total of 44  
17 participants ( $n=22$  per group) would be required to achieve significant between-group  
18 differences. However, significant differences were observed in the change scores for the  
19 ATEC health/physical/behavior subscale between groups (95% CI: 4.5—25.5,  $Z = -2.470$ ,  $p =$

1 0.013, effect size  $r = 0.743$ ).

2 For the CBCL, significant reductions were noted in the SBT+AtDCS group for the total  
3 score (95% CI: -26--1.5,  $Z = -2.201$ ,  $p = 0.028$ , effect size  $r = 0.635$ ) and externalizing  
4 problems subscale scores (95% CI: -13--3,  $Z = -2.027$ ,  $p = 0.027$ , effect size  $r = 0.637$ ). No  
5 significant within-group improvements were observed in the SBT+StDCS group for the CBCL  
6 total score or any subscales (Figure 2B). For between-group comparisons, the Mann-  
7 Whitney  $U$  test did not show significant differences in change scores for the CBCL total scale  
8 (95% CI: -0.38--0.41,  $Z = -1.826$ ,  $p = 0.068$ , effect size  $r = 0.551$ ). A power analysis estimated  
9 that a total of 32 participants ( $n=16$  per group) would be required to achieve significant  
10 between-group differences. Nevertheless, significant between-group differences were  
11 observed in change scores for the CBCL externalizing problems subscale (95% CI: -0.88--  
12 0.04,  $Z = -2.470$ ,  $p = 0.013$ , effect size  $r = 0.743$ ).

13 Regardless of the groups, children with ASC showed significant improvements in sensory  
14 modulation subscale scores following intervention (SBT+AtDCS: 95% CI: 1--13,  $Z = -2.201$ ,  $p =$   
15  $0.028$ , effect size  $r = 0.635$ ; SBT+StDCS: 95% CI: 3--15,  $Z = -2.023$ ,  $p = 0.043$ , effect size  $r =$   
16  $0.639$ ) (Figure 2C). Furthermore, in the SBT+AtDCS group, the SP total score significantly  
17 improved from pre-treatment to post-treatment (95% CI: 15--35,  $Z = -1.992$ ,  $p = 0.046$ , effect  
18 size  $r = 0.575$ ) (Figure 2D). However, no significant between-group differences were found in  
19 change scores for the SP total scale or any SP subscales (all  $p > 0.4$ , with small effect sizes

1 ranging from  $r = 0.032$  to  $r = 0.219$ ). The estimated sample size required to achieve  
2 significant between-group differences in the SP total scale was 1,068 participants in total  
3 (n=534 per group).

4 Importantly, parents or caregivers of all eligible children with ASC did not report or  
5 notice any adverse events in either the SBT+AtDCS or SBT+StDCS groups (Table S1).

#### 6 **4. Discussion**

7 This pilot study is the first to examine the combined effects of SBT and tDCS in children  
8 with ASC. The findings indicate that all participants demonstrated significant improvements  
9 in their ability to regulate and respond to sensory stimuli after treatment, irrespective of  
10 whether they received active or sham tDCS. This suggests that the SBT protocol alone is  
11 beneficial for enhancing sensory processing in ASC. Furthermore, children with ASC who  
12 received SBT+AtDCS intervention showed greater reductions in autism severity and  
13 behavioral difficulties compared to those who receive SBT+StDCS. Although the small  
14 sample size limits the generalizability of these findings, the results are encouraging and  
15 provide valuable insights for future research and larger-scale investigations.

16 A primary finding of the study is the significant reduction in ASC severity and the  
17 improvement in externalizing problems such as rule-breaking and aggressive behaviors,  
18 following SBT+AtDCS intervention. In contrast, SBT+StDCS intervention did not yield similar  
19 effects. These differences in ASC severity and behavioral difficulties may be specifically

1 linked to the active tDCS treatment. Previous research has shown that anodal tDCS applied  
2 over the left PFC can enhance behavioral and social outcomes in individuals with ASC  
3 (Amatachaya et al., 2014; Amatachaya et al., 2015; Garcia-Gonzalez et al., 2021; Hadoush et  
4 al., 2020; Toscano et al., 2019). Notably, the interaction between excitation and inhibition is  
5 critical for neural circuitry function in the brain (Sukenik et al., 2021). Emerging evidence  
6 suggests that disruptions in the E–I balance, specifically hyperglutamatergic-hypoGABAergic  
7 alterations in specific brain areas such as the prefrontal cortex, are linked to the social and  
8 behavioral abnormalities of ASC (Robertson & Baron-Cohen, 2017; Rubenstein & Merzenich,  
9 2003; Uzunova et al., 2016; Yizhar et al., 2011). Thus, the improvements observed in  
10 children with ASC, including reduced ASC severity and fewer behavioral challenges, following  
11 SBT combined with active tDCS over the left PFC may be attributed to this E–I mechanism.  
12 Given that this study primarily focuses on clinical behavioral efficacy, further investigation is  
13 required to support this assumption.

14       Additionally, we observed significant improvements in sensory modulatory behaviors  
15 among all children with ASC following interventions of SBT+AtDCS and SBT+StDCS. These  
16 findings are consistent with prior evidence indicating that SBT can improve sensory  
17 responsiveness in ASC (Kashefimehr et al., 2018; Pfeiffer et al., 2011; Schaaf et al., 2014).  
18 Altered sensory modulation has been reported since the earliest descriptions of ASC  
19 (Kanner, 1943), with individuals often exhibiting difficulties in regulating their responses to

1 sensory stimuli (Ben-Sasson et al., 2009; Case-Smith et al., 2015; Kern et al., 2007; Tavassoli  
2 et al., 2016). SBT emphasizes sensory stimulation and active participation of the child,  
3 focusing on providing planned, controlled sensory inputs based on the child's needs to help  
4 enhance their ability to self-regulate sensory demands and improve sensory experiences  
5 (Case-Smith et al., 2015; Koomar & Bundy, 2002; Parham et al., 2007; Pfeiffer et al., 2011). In  
6 this study, we followed these principles and applied individually customized sensory-motor  
7 activities at an appropriate level of challenge. The results indicated that all children with ASC  
8 responded more effectively to daily sensory experiences. Future research should investigate  
9 the significance of this individualization strategy, as it may play a critical role in achieving  
10 positive outcomes in sensory modulation.

11 Another notable finding of our study was the significant improvement in the SP total  
12 score following SBT+AtDCS interventions, suggesting that the additional benefit of active  
13 tDCS on overall sensory processing is highly promising. Atypical sensory processing in ASC  
14 has been observed across all age groups and levels of symptom severity, adversely affecting  
15 social behaviors and daily functioning (Baum, Stevenson, & Wallace, 2015; Leekam, 2016;  
16 Marco, Hinkley, Hill, & Nagarajan, 2011). Green and colleagues conducted a series of studies  
17 suggesting that ASC symptoms are associated with an over-attribution of salience to  
18 extraneous sensory information, accompanied by insufficient down-regulation in the brain's  
19 responses, such as reduced activation of the PFC. This results in excessive attention to

1 sensory inputs, leading to social-communication difficulties (Green et al., 2016; Green et al.,  
2 2018). In this study, we used two different yet complementary treatments—SBT to normalize  
3 sensory experiences in individuals with ASC, and active tDCS applied to the left PFC to  
4 enhance their capacity for processing downregulation. This combined approach  
5 demonstrated synergistic effects on the overall sensory functioning in ASC.

6 However, direct comparisons of between-group effects revealed a more substantial  
7 effect on the ATEC and CBCL compared to the SP. Power analyses indicated that achieving  
8 significant between-group differences in SP change scores would require a larger sample size  
9 than that needed for the ABC and CBCL. This finding suggests a potential ceiling effect,  
10 where the effectiveness of the SBT protocol alone may have reached its maximum impact on  
11 sensory processing, resulting in less significant differences caused by AtDCS. Future research  
12 should consider several avenues to address these findings. Firstly, during stimulation should  
13 specifically engage the left PFC to maximize efficacy. For instance, anodal tDCS over the left  
14 PFC could potentially enhance working memory in individuals with ASC when they are  
15 instructed to maintain a calm and quiet state during the stimulation session (Hadoush et al.,  
16 2020). Alternatively, applying cathodal tDCS over the left PFC in conjunction with cognitive  
17 remediation training could improve information processing speed during executive function  
18 tasks in ASC (Chan et al., 2023).

19 There are some limitations worth noting in the current study. Firstly, the sample size

1 was small and included both boys and girls. Practical challenges, such as trial non-  
2 completion and poor treatment compliance, limit recruitment to children with mild to  
3 moderate ASC. Given the heterogeneous nature of ASC, the variability in our relatively small  
4 sample may have influenced for treatment outcomes. Using power analyses, we have  
5 estimated the number of participants required to achieve significant results between  
6 SBT+AtDCS and SBT+StDCS groups in the ATEC, CBCL, and SP. The small effect size for  
7 improvement in the SP may be attributed to a ceiling effect in the sham control group,  
8 where a validated SBT was applied to fulfill ethical considerations for clinical populations.  
9 When testing a new intervention, it is essential to ensure that participants' rights are not  
10 compromised. Even in the control group, participants should not be deprived of access to  
11 proven effective treatments (Emanuel, Wendler, & Grady, 2000; Freedman, Glass, & Weijer,  
12 1996). Future studies testing various combinations of tDCS intervention with different levels  
13 of task engagement, such as an active task related to the dependent variable versus a  
14 passive task unrelated to the dependent variable, could form different intervention packages  
15 that affect the outcome differently (e.g., in our study, passive viewing of videos unrelated to  
16 sensory functions) are warranted.

17 Secondly, regarding the reliability of ASC diagnosis, the conventional approach has  
18 involved a combination of a semi-structured clinical interview with parents and individual  
19 observations. However, a new DSM-5 ASC severity rating system has emerged and is gaining

1 recognition for its validity and utility in confirming ASC diagnoses (Mazurek, Lu, Macklin, &  
2 Handen, 2019). Therefore, despite the preliminary and inconclusive nature of the present  
3 findings, they can serve as an initial point for further research emphasizing well-defined  
4 sample groups and sufficient statistical power. Thirdly, although SBT and tDCS are widely  
5 used in ASC, there remains limited understanding of their impact on network-level brain  
6 activity and the underlying mechanisms, especially within the brain's domains of excitation  
7 and inhibition related to ASC symptoms. Fourthly, the study only examined immediate  
8 effects and did not explore long-term or delayed impacts. Furthermore, uncontrolled  
9 variables, such as the type and frequency of treatments administered beyond the study  
10 period (as outlined in Table 1), must be considered as potential confounding factors.

## 11 **5. Conclusions**

12 In summary, this randomized, double-blind, sham-controlled pilot study suggests that  
13 combining SBT with active tDCS over the left PFC shows potential in reducing symptoms and  
14 atypical behaviors associated with ASC. These findings provide initial support for the  
15 effectiveness of combined therapies in children with ASC. Validation of these results through  
16 further research is crucial, particularly for gaining insights into the underlying  
17 neurobiological mechanisms.

18

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## Figure Legends

**Figure 1.** Illustration of the study procedures.

**Figure 2.** Differences between pre-treatment and post-treatment raw scores on (A) the ATEC-Chinese, (B) the CBCL-Chinese, and (C and D) the SP-Chinese for each intervention group.

**Table 1.** Demographic characteristics, clinical outcome scores, and treatment history of study participants

Subject		Age	Gender	Intervention	Autism severity	Before treatment			After treatment			Current treatment
ID	ATEC					CBCL	SP	ATEC	CBCL	SP		
1	6	Boy	SBT+AtDCS	Level I	43	19	530	38	13	521	OT, PT, ST	
2	7	Girl	SBT+StDCS	Level I	41	94	326	35	92	355	OT	
3	5	Boy	SBT+StDCS	Level II	74	53	394	50	45	421	OT, PT, ST	
4	5	Boy	SBT+AtDCS	Level II	98	76	426	80	62	453	OT, PT, ST	
5	5	Girl	SBT+AtDCS	Level I	39	37	500	21	15	528	OT, PT, ST	
6	7	Boy	SBT+AtDCS	Level I	62	69	397	42	32	499	OT, PT, ST	
7	5	Boy	SBT+StDCS	Level I	58	37	370	57	22	390	OT, PT, ST	
8	7	Girl	SBT+StDCS	Level II	58	41	368	84	75	341	OT, ST	
9	6	Boy	SBT+AtDCS	Level II	88	90	321	72	83	340	OT, PT	
10	5	Boy	SBT+StDCS	Level I	18	19	525	23	19	503	OT, PT, ST	
11	6	Girl	SBT+AtDCS	Level I	10	43	497	8	34	518	OT, PT	

SBT+AtDCS, sensory-based treatment combined with active tDCS; SBT+StDCS, sensory-based treatment combined with sham tDCS; ATEC, the Autism Treatment Evaluation Checklist; CBCL, the Child Behavior Checklist; SP, the Sensory Profile; OT, occupational therapy; PT, physical therapy; ST, speech therapy